

Progress Report with Disbursement Request



Cover Sheet: Instructions

- This template is compatible with MS Excel 2013 and later versions. Some drop-downs and formulae may not work with earlier versions and specifically MS Excel 2010. Hence, Principal Recipients with earlier MS versions are requested to upgrade to MS Excel 2013 to have the full functionalities of this tool.
- Principal Recipients are first required to confirm the information on the Cover Sheet with the General Grant Information listed in the boxes below. They can refer to their Grant Face Sheet/Grant Confirmation to confirm part of this information.
- Principal Recipients are required to confirm the information related to the periods covered by the progress update and disbursement request.

GENERAL GRANT INFORMATION

Country:	Vanuatu
(Disease) Component:	Malaria
Grant Name/Number:	0613-M-UNDP
Principal Recipient:	United Nations Development Programme
LEA Name:	
Program Start Date:	1-Jul-15
Grant Currency:	USD
Local Currency:	VUV

REPORTING PERIOD FOR PROGRAMMATIC REPORTING	Beginning Date:	End Date:
Period of Programmatic Reporting:	1-Jul-16	31-Dec-16
REPORTING PERIOD FOR FINANCIAL REPORTING	Beginning Date:	End Date:
Period of Financial Reporting:	1-Jan-16	31-Dec-16
DISBURSEMENT REQUEST	Beginning Date:	End Date:
Disbursement Request Execution Period:	1-Jan-17	31-Dec-17
Disbursement Request Buffer Period:	N/A	N/A

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Section 1: Programmatic Information

Note: The table contains those Impact/Outcome indicators that are (1) due for reporting in the current reporting period and (2) those reporting on which is overdue from the previous periods.

A- Impact/Outcome Indicators												
Impact / Outcome	Standard Impact/Outcome Indicator	Custom Impact/Outcome Indicator	Baseline (if applicable)		Target		Result		Year of Result	Source	Comments on results on Impact/Outcome indicators and data sources, and any other comments	
			Value	Year	N#	D#	%	N#				D#
	Malaria 1-2.1: Confirmed malaria cases (microscopy or RDT); rate per 1000 persons per year		9	2013	5.00				2016	Reports (specify)	Source: NATIONAL VECTOR BORNE DISEASE CONTROL PROGRAM ANNUAL REPORT 2016 Numerator: Number of suspected malaria cases confirmed by either microscopy or RDT Denominator: Population Result Confirmed / Total Tested: RDT = 1,643 / 5,481 and Micro = 225 / 5,704 Total Pop: 375,649 The denominator is the whole population of Vanuatu (375,649) as the whole population is considered at risk of malaria, and the numerator is total number of confirmed cases RDT & micro (1,868), times 1,000, which equals an AFR of 6.8 case per 1,000 population for 2016.	
	Malaria 1-4: Malaria test positivity rate		3.00%	2013					2016	Reports (specify)	Numerator: Number of suspected malaria cases confirmed by either microscopy or RDT Denominator: Number of suspected malaria cases with a parasitological test 1,643 / 5,481 = 30.00% 225 / 5,704 = 3.96% The test positivity rate was calculated as the total number of positive tests (slide examinations and RDTs) divided by the total number tests (slide examinations and RDTs) reported by Coverage Areas: Malampa, Penama, Sonma, Shefa, Tafea, Torba	
	Malaria 1-3 (M): Inpatient malaria deaths per year; rate per 100,000 persons per year		0	2013	0.00				2016	Reports (specify)	Source: NATIONAL VECTOR BORNE DISEASE CONTROL PROGRAM-ANNUAL REPORT 2016	

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Section 1: Programmatic Information

Note: All coverage indicators contained in the current Performance Framework should be listed, regardless of whether the targets have been met in previous periods.

Module	Standard Coverage Indicator	Custom Coverage Indicator	Geographic Area (Fundational place specify under the "Component Column")	Targets cumulative?	Baseline (if applicable)			Target			Result			Achievement Ratio	Comments, Reasons for programmatic deviation from intended target and deviations from the related workplan activities	
					N#	D#	%	Year	N#	D#	%	N#	D#			%
Vector control	VC (M) Number of people being insecticide treated through mass campaigns		National	Non Cumulative	94,47,00			2013	92,20,000			109,705			117%	During the reporting period (2013), the National Vector Control Programme of the Ministry of Health and Department of Local Authority to ensure engagement of the community groups in the mass campaigns, had had contributed to the excellent achievement rate achieved in the related workplan activities.

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Section 1: Programmatic Information

Note: Only the Workplan Tracking Measures that are due for the reporting period have been captured

C- Workplan Tracking Measures

Module Name	Intervention	Custom Intervention	Activity	Activity details- milestones/ targets	Criterion for Completion	Country (relevant for multi-country grants)	Progress Status	Score	Reasons for deviation from workplan activities and milestones
Program management	Grant management		PMU, Supervision and Monitoring	Annual Supervision Plans	UNDP & MoH supervision plans developed	afA36000003M 2AEAU	Advancing	2	
RSH: Health management information systems and M&E	Routine reporting		Routine Reporting Personnel	Annual M&E review and lessons learnt Workshop	Review and dissemination of reports including the Global Fund	afA36000003M 2AEAU	Advancing	2	
RSH: Health management information systems and M&E	Routine reporting		Routine Reporting Personnel	Annual supervision plans developed	Supervision plans developed by all ministries	afA36000003M 2AEAU	Advancing	2	

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Section 2: Financial Information		Period of Financial Reporting		Beginning Date:		End Date:		
		Cumulative Period of Financial Reporting		1-Jan-16		31-Dec-16		
		Principal Recipient		1-Jul-15		31-Dec-16		
A. Principal Recipient Cash Reconciliation Statement in Grant Currency								
Item No.	Description	Cumulative for Previous Periods	Current Reporting Period	Comments	Cumulative for Previous Periods as validated by Global Fund	LFA Adjustments on Current Reporting Period	As verified by LFA	Comments
For LFA Use Only								
1.1	Cash Balance: Beginning of the Period		\$428,363			\$0	\$428,363	
2.	Grant Income							
Add:								
2.1	Disbursement made to the Principal Recipient	\$790,326	\$885,757		\$0	\$0	\$885,757	
2.2	Disbursement to third parties by the Global Fund on behalf of the Principal Recipient	\$0	\$0		\$0	\$0	\$0	
2.3	Interest received on bank accounts	\$2,358	\$2,912		\$0	\$0	\$2,912	
2.4	Revenue from income-generating activities (if applicable)	\$0	\$0		\$0	\$0	\$0	
2.5	Other income, if applicable (e.g. VAT/Other Tax returns, income from disposal of assets etc.)	\$0	\$0		\$0	\$0	\$0	
2.6	Total Grant Income	\$792,684	\$888,669		\$0	\$0	\$888,669	
3. Grant Cash Outflows								
Less:								
3.1	Principal Recipient Expenditure (including payments and other advance payments)	\$299,489	\$513,886	Total Exp. 939434.94 Less realised gains and Losses 161.48 Less prepayment liquidation 42454.76 less Bank Chg 20 less DFAT reimbursement 46884.08 Less SR Acquitted expenditures 325,687.3 Less Unrealised gains /losses 10312.82	\$0	\$0	\$513,886	
3.2	Disbursement to third parties by the Global Fund on behalf of the Principal Recipient	\$0	\$0		\$0	\$0	\$0	
3.3	Principal Recipient disbursement to sub-recipients	\$54,784	\$434,420	SRDisbursements 397535.94+DFAT Reimb46884.08+11373	\$0	\$0	\$434,420	
3.4	Bank charges on disbursements and payments	\$0	\$20		\$0	\$0	\$20	
3.5	Total Grant Cash Outflows	\$354,273	\$948,326		\$0	\$0	\$948,326	

4. Reconciling Adjustments					
4.1	Other reconciliation adjustments (including for prior periods)	\$0		\$0	\$0
4.2	Net exchange gains/losses on translation of balances	\$0	(\$161)		(\$161)
4.3	Ineligible transactions from previous periods for which justification was approved by the Global Fund	\$0	\$0		\$0
4.4	Reimbursement of ineligible transaction from previous periods	\$0			\$0
5. Total Cash Balances: End of the reporting period					
5.1	Principal Recipient Cash Balance		\$368,545		\$368,545
5.2	Sub-Recipient Cash Balance		\$98,423		\$98,423
5.3	Total Cash Balance		\$466,968		\$466,968

B. Principal Recipient Bank Statement Balance & Cash In Transit in Grant Currency						
		Principal Recipient				
		As At End of Current Period	Comments	LFA Adjustments on Current Reporting Period	For LFA Use Only As verified by LFA	Comments
6.1	Principal Recipient Cash Balance as per bank statements (For Information Only):	\$368,545		\$0	\$368,545	
6.2	Cash in Transit for the reporting period	\$0		\$0	\$0	
6.3	Cash in Transit after the current reporting period	\$200,851		\$0	\$200,851	

C. Principal Recipient Financial Commitments and Other Financial Obligations					
7.1	Unpaid invoices, accrued expenditure for severance pay, leave and other liabilities	\$0		\$0	\$0
7.2	Open legal obligations (including signed contracts not yet invoiced)	\$185,365		\$0	\$185,365
7.3	Tenders and/or procurement contracts initiated but not yet signed as contracts	\$0		\$0	\$0
7.4	Total Commitments & Other Obligations	\$185,365		\$0	\$185,365

D. Principal Recipient Ineligible Transactions in Grant Currency					
	Principal Recipient			For LFA Use Only	
	Cumulative for Previous Periods	Current Reporting Period	Comments	Cumulative for Previous Periods as validated by Global Fund	LFA Adjustments on Current Reporting Period
8.1 Ineligible transactions validated for the reporting period		\$0		\$0	\$0
8.2 Ineligible transactions from previous periods for which justification was approved by the Global Fund	\$0	\$0		\$0	\$0
8.3 Reimbursement of ineligible transactions from previous periods	\$0	\$0		\$0	\$0
8.4 Cumulative ineligible transactions for the implementation period	\$0	\$0		\$0	\$0
8.5 Open ineligible transactions to be justified and/or reimbursed	\$0	\$0		\$0	\$0

E. Principal Recipient Reconciliation of Cash Balance from the previous implementation period					
	Principal Recipient			For LFA Use Only	
	Cumulative for Previous Periods	Current Reporting Period	Comments	Cumulative for Previous Periods as validated by Global Fund	LFA Adjustments on Current Reporting Period
9.1 Closing Cash Balance from the previous implementation period		\$0			\$0
9.2 Commitments from the previous implementation period		\$0			\$0
9.3 Payments made against the prior implementation period commitments		\$0			\$0
9.4 Remaining Commitments from the prior implementation period		\$0			\$0
9.5 Savings on prior implementation period commitments		\$0			\$0

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		Beginning Date:	1-Jan-16	31-Dec-16		
		Beginning Date:	1-Jul-15	31-Dec-16		

Principal Recipient Reconciliation of funds provided to Sub-Recipients for the Current Implementation Period

Principal Recipient										
(1) Sub-Recipient Name	(2) Cumulative Sub-Recipient expenses for prior periods at Principal Recipient level	(3) Sub-Recipient Open Advances at Principal Recipient Level	(4) Disbursements made by Principal Recipient during the Reporting Period	(5) Other Income* during the Reporting Period	(6) Expenditure validated by Principal Recipient during the Reporting Period	(7) Refunds received from the Sub-Recipient	(8) Sub-Recipient Closing Balance at Principal Recipient Level	(9) Actual Sub-Recipient Cash Balance (if applicable)	(10) Variances on Sub-Recipient Balances	Comments
MoH Vanuatu	\$8,120	\$46,004	\$434,420	-\$10,313	\$372,571	\$0	\$108,513	\$98,423	-\$10,090	Cash Balance in Vtu/Ex rate to FJD(44.65)/Ex rate FJ to USD (2.04)
Total for the Reporting Period	\$8,120.00	\$46,004.00	\$434,420.00	(\$10,312.82)	\$372,571.00	\$0.00	\$108,513.31	\$98,423.07	(\$10,090.24)	

* Includes interest income, income generating activities etc.

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Section 2: Financial Information

Period of Financial Reporting	Period of Financial Reporting		End Date:	
	Cumulative Period of Financial Reporting	Beginning Dates	End Dates	End Dates
		1-Jan-16	31-Dec-16	
		1-Jul-18	31-Dec-16	

Total Principal Recipient Budget Variance and Funding Absorption Analysis

	Principal Recipient									
	Budget for Reporting Period	Actual Grant Cash Outflow - Reporting Period	Budget Vs Actual Variance	Absorption Capacity	Reasons for Variance	Cumulative Budget through period of Progress Update	Cumulative Actual Grant Cash-Outflow - period of Progress Update	Cumulative Budget Vs Actual Variance	Absorption Capacity	Reasons for Variance
1. Total Principal Recipient cash outflow vs. budget	\$1,037,171	\$948,326	\$88,845	91.4%	Positive variance - explained by: <ul style="list-style-type: none"> \$126,228 distribution costs recorded under the SRs expenditures as part of the SR advances \$75,324 PMU HR savings \$5,425 SR audit fees \$1,000 communication savings Delayed activities: \$1,910 FR audit scheduled in May 2017 \$29,029 SMS Messaging - pre-distribution campaigns and survey; LTA is signed with the service \$7,484 GMS for related activities - 2017 commitment \$7,750 \$4,427 PR office communication costs to be paid in 2017 Negative variance - explained by: <ul style="list-style-type: none"> \$1,000 PMU HR savings \$1,373 Direct Salary Payment budgeted under SR, but covered under PR \$ 2819 Travel related costs over-expenditure due to underestimation of the travel costs 	\$1,774,447	\$1,309,199	\$465,248	71.5%	Positive variance explained by: <ul style="list-style-type: none"> \$140,059 PMU HR savings. The request to relocate 15% of this savings to cover PR M&E costs will be submitted for the GP approval \$2,920 due to PR audit budgeted in 2015 & 2016 and is scheduled in May 2017 \$16,864 SR audit fees savings \$895,050 cumulative LLIN savings due to cancelled 2015 procurements \$316,440 LLIN distribution costs recorded under SR expenditures as per the letter of agreement \$46,992 Communication costs committed as part of Dipped Long term \$2,420 GMS due to delayed activities \$8,519 PR communications costs and pre-localisation budget \$8,200 PR maintenance costs - INF \$2,524 PR audit fees \$199,133 LLIN prepayment to cover 2017 procurement \$11,373 direct salary payment budgeted under SR, but covered under PR Negative variance - explained by: <ul style="list-style-type: none"> \$51,642 SR human resources savings \$2,119 SR human resources payment part of the advance not acquired \$1,373 direct salary payment budgeted under SR, but covered under PR \$46,884 reimbursement of 2015 LLIN distribution costs paid in this budgeted under UNDP \$4,005 M&E 2016 \$316,440 LLIN distribution costs budgeted under UNDP and disbursed to SR as detailed in the letter of agreement
2. Disbursements to sub-recipients	\$99,427	\$434,429	(\$334,753)	43.6%	Positive variance - explained by Savings: <ul style="list-style-type: none"> \$ 14,048 SR human resources savings \$8,419 SR human resources payment as part of the advance \$29,029 direct salary payments budgeted under SR, but covered under PR \$46,884 reimbursement of 2015 LLIN distribution costs paid in this reporting period originally budgeted under UNDP \$3,6540 LLIN distribution costs budgeted under UNDP and disbursed to SR as detailed in the letter of agreement 	\$1,481,025	\$499,314	(\$981,993)	33.6%	Positive variance - explained by: <ul style="list-style-type: none"> \$51,642 SR human resources savings \$2,119 SR human resources payment part of the advance not acquired \$1,373 direct salary payment budgeted under SR, but covered under PR \$46,884 reimbursement of 2015 LLIN distribution costs paid in this reporting period originally budgeted under UNDP \$4,005 M&E 2016 \$316,440 LLIN distribution costs budgeted under UNDP and disbursed to SR as detailed in the letter of agreement
3. Total pharmaceutical & non-pharmaceutical incl. equipment expenditures vs. budget	\$294,120	\$394,819	(\$100,699)	134.2%	Positive variance - explained by: <ul style="list-style-type: none"> \$99,432 LLIN procurement savings because of unit cost. The cumulative savings from this budget line reallocated by procurement of PCR machine, IT equipment to support MIS infrastructure and refurbishment of GP warehouse Negative variance: <ul style="list-style-type: none"> \$99,338 LLIN prepayment to cover 2017 procurement 	\$388,748	\$394,819	\$86,989	101.5%	Positive variance - explained by: <ul style="list-style-type: none"> \$99,432 LLIN procurement savings because of unit cost savings closely realized by procurement of PCR machine and IT equipment to support MIS infrastructure Negative variance: <ul style="list-style-type: none"> \$99,338 LLIN prepayment to cover 2017 procurement
4. Health Products- Pharmaceutical Products	\$0	\$0	\$0	100.0%		\$0	\$0	\$0	100.0%	
5. Health Products - Non-Pharmaceuticals & Equipment	\$94,118	\$394,819	(\$300,699)	134.1%	Positive variance - explained by: <ul style="list-style-type: none"> \$99,432 LLIN procurement savings because of unit cost. The cumulative savings from this budget line reallocated by procurement of PCR machine, IT equipment to support MIS infrastructure and refurbishment of GP warehouse Negative variance: <ul style="list-style-type: none"> \$99,338 LLIN prepayment to cover 2017 procurement 	\$388,748	\$394,819	\$86,989	101.5%	Positive variance - explained by: <ul style="list-style-type: none"> \$99,432 LLIN procurement savings because of unit cost savings closely realized by procurement of PCR machine and IT equipment to support MIS infrastructure Negative variance: <ul style="list-style-type: none"> \$99,338 LLIN prepayment to cover 2017 procurement

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Section 3A: Principal Recipient - Procurement and Supply Management

1. Have you updated the Price Quality Reporting (PQR) with the required information on the pharmaceuticals and health products received during the period covered by this PU/DR? (if applicable)? If health products procurement information has not been entered into the PQR, please explain why.	Yes	Comments
<p>! For further guidance on PQR data entry, please refer to the guidelines.</p>		<p>Information about procurements of LLINs received during 2016 have been updated and an Excel Spreadsheet with the data reported in PQR will be made available to LFA for review.</p>

2. Based on the most up-to-date stock situation, are there any risks of stock-outs or expiries for the key pharmaceuticals & health products, listed below, at the central level in the next Reporting Period? If yes, please comment. Comment (if yes, please provide information on the specific items that are at risk of stock-out or expiry and the mitigation measures in place or to be implemented)			
Key Pharmaceuticals & Health Products	Risk of Stock-Out	Risk of Expiry	Comment (if yes, please provide information on the specific items that are at risk of stock-out or expiry and the mitigation measures in place or to be implemented)
1. Anti-malaria medicines	N/A	N/A	
2. Bed nets	No	No	92,000 LLINs procured for 2016 arrived in Port Vila in May 2016 and were all distributed as planned in October and November 95,020 LLINs for 2017 distribution was procured by UNDP which arrived into Port Vila in December 2016. MoH plans to implement the distribution in phases and to complete distribution in all 6 provinces before December. Order for additional 30,000 LLINs will be placed in April (expected delivery by September) to bridge gap in supply should there be a need based on 2017 microplanning result in March
3. In-Vitro Diagnostic Products	N/A	N/A	
4. Condoms	N/A	N/A	
5. Anti-retrovirals	N/A	N/A	
6. Anti-TB medicines	N/A	N/A	
7. Lab supplies (e.g. CD4, Viral Load, Cartridges...)	N/A	N/A	
8. Other (Please specify in the "Comment" column)	N/A	N/A	

3. Comment on additional issues related to the procurement and supply management of pharmaceuticals and health products.
<p>The established LLIN mass distribution system (prior to 2016) is coordinated nationally and implemented on a decentralized (provincial) basis. MoH was heavily engaged in the distribution process assisted by casual labour for portage of LLINs in rural areas not served by roads, most of the operations are in rural, hard to reach areas with very high logistics costs and increased burden on the provincial health team. To ensure efficiency, accountability and alignment to the local government systems, UNDP engaged with the provincial governments to supervise the LLIN operation and facilitate the distribution through the village committees (women, youth and churches). LLIN distribution was completed in December 2016. The communities which implemented the new initiative had achieved their target in effectively and efficiently. GF had provided no-objection to the implementation of Verified Service Delivery (VSD). The requested 50k will fund water tanks and co-finance community aid posts renovation. A reprogramming has also been approved by the TWG and the GF to cover : refurbishment of George Pomidon premises for LLIN storage; IT equipment for Malaria information system and procurement of PCR machine</p>

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Section 4: Grant Management

A. PR and LFA Comments on the Fulfilment of Grant Requirements

Please include in this table the Grant Requirement number as per Grant Confirmation and full text of the requirement due for fulfillment during this period or outstanding from previous periods. Some may apply to more than one period of grant implementation. Their fulfillment during one period does not automatically imply fulfillment in subsequent periods. The LFA should verify that the status of such Grant Requirements is reported by the Principal Recipient during each period concerned.

Grant Requirements	Status	PR Comments on Progress of Implementation
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B. PR & LFA Review of Progress on Implementation of Outstanding Management Actions from Previous Disbursements

Please list all issues raised in the last Performance Letter from the Global Fund or outstanding from previous Performance Letters, and comment on the progress. Please include the date of the Performance Letter and the item number.

Global Fund Management Actions	Status	PR Comments on Progress of Implementation
<p>No. 1 – 11 August 2015 – The PR will support the National Vector Borne Disease Control Program (NVBDCP) to update the National Malaria Program M&E Framework (Due Date: 31-12-15). The PR will support the National Vector Borne Disease Control Program (NVBDCP) to update the National Malaria Program M&E Framework to include the following:</p> <ul style="list-style-type: none"> i. formal organogram/chart of the VBDCP M&E unit, including the number of filled and vacant positions; ii. clearer description of M&E roles and responsibilities of technical and implementation partners with regards to the National Malaria Strategic Plan (NMSP) and the Global Fund grant and respective information flow and coordination and reporting lines e.g. WHO, AICEM, MOH (HIS unit, national and provincial HIS managers, etc.); iii. description of supportive supervision, including frequency, responsible officers, supervision visits checklists and the mechanisms for feedback and follow-up actions; iv. description of the current stratification by zones and plans to transition stratification to the village/commune level; v. improve the description of the routine data collection by including a chart/table with all information flows and types of data collection forms, e.g. Malaria microscopy/RDT registers, outpatient registers, the Microscopy Laboratory report, the Malaria monthly epidemiological report, etc. as well as responsibility and timelines for completing and submitting each report; vi. description of the current system for quality assurance of diagnostic services in primary care facilities and hospitals; vii. description of the current systems for treatment efficacy and insecticide resistance monitoring; viii. costed M&E system strengthening action plan, including supervision, capacity building and other activities. 	In Progress	<ul style="list-style-type: none"> i. Addressed ii. Addressed iii. Addressed iv. Addressed v. Addressed vi. The quality assurance procedures for RDTs developed vii. TREATMENT EFFICACY STUDIES (TES): Attempts to monitor the efficacy of first line treatment (ACT) on P falciparum using a standard in vivo study protocol have been unsuccessful in studies in Epi in 2011 and Santo in 2013 (see NMSP). The minimum number of cases required for TES is unlikely to be identified in any location in Vanuatu over a one year and so the current WHO recommendations for TES in every country every two years can obviously not be applied in Vanuatu. Novel tools to capture in vitro evidence of resistant strains or molecular markers are likely to become available in the near future which will be more easily applied in Vanuatu to monitor for resistant strains of malaria. INSECTICIDE RESISTANCE: the program will use standard WHO guidelines on testing malaria vector species in Vanuatu. Testing was last conducted in 2013 on Epi Island in Shefa province. The program is planning to conduct further testing of vector populations in Malampa or Samma Province in 2017. viii. Addressed.
<p>No. 2 – 11 August 2015 – M&E and Supervisory visits For cost-efficiency purposes, monitoring and supervisory visits should be (i) coordinated and conducted jointly with technical partners and the PR/MCCM; and (ii) with multipurpose site visits and budgets for other areas (e.g. PHPM) in order to ensure economies of scale and increase the effectiveness of the visits (Due Date: ongoing).</p>	Waived	Excluded from further reporting as coordinated M&E and supervisory visits are part of routine work expected from the PR.
<p>No. 3 – 11 August 2015 – M&E Capacity :The PR should ensure appropriate M&E staffing, retention and capacity building at the PR level and is expected to strengthen the M&E coordination with technical partners in the region (Due Date: ongoing with first update due 30-09-15).</p>	Waived	Excluded from further reporting as it's the responsibility of the PR to ensure appropriate staffing.

<p>No. 4 – 11 August 2015 – a Malaria Indicator Survey</p> <p>The use of Grant Funds by the PR to finance the implementation of the Malaria Indicator Survey to assess the impact of the Program is subject to the satisfaction of each of the following criteria: i. the delivery by the PR to the Global Fund, in form and substance satisfactory to the Global Fund, a detailed study protocol detailing how the Malaria Indicator Survey will be implemented (the "Detailed Study Protocol"); and ii. the written approval by the Global Fund of the Detailed Study Protocol (Due Date: 31-03-17).</p> <p>No. 5 – 11 August 2015 – Monitoring bed nets</p> <p>The PR should ensure that the durability of bed nets are monitored and share with the Global Fund a proposed plan for the monitoring of bed nets durability.</p> <p>The PR should also put in place simple reporting and recording tools (supported with the necessary SOPs) to ensure that (i) it receives inventory-related information on LLINs from Vanuatu at least every 6 months (the information should include: opening stock balance, quantity of nets received during the reporting period, quantity of nets distributed, balance at the end of reporting period) and (ii) distribution of LLINs is properly recorded and distribution records are available for inspection/review as necessary (Due Date: 15-10-15).</p>		<p>In Progress</p>	<p>MoH decided that the most efficient and effective way to collect data on the six key malaria outcome indicators is to 'piggy back' the MIS onto another national survey. This approach is endorsed by WHO. The program has already begun discussions with the National Statistics Office(NSO) about including the malaria questions in the proposed 'National Sustainable Development' survey later this year. Because the NSD survey will be implemented by NSO, they will be the agency responsible for developing the survey protocols. One of the Excluded given current priorities of the national Malaria program and based on existing evidence and data on LLINs usage and durability in the country, WHO in-country office recommended that the monitoring of LLIN would not represent efficient use of resources. Additionally, we note that the PR has put in place recording and reporting tools for reporting of inventory related information of LLINs with details on the opening stock balance, LLINs received and distributed.</p>
<p>No. 6 – 11 August 2015 – Inventory control and management</p> <p>The PR should ensure that minimum requirements of inventory control and inventory management are in place at the Vanuatu CMS. The PR should also assess the CMS staff capacity, their knowledge of inventory control practices and provide trainings if necessary (Due Date: 30-06-16).</p>		<p>Met</p>	<p>(as confirmed by Global Fund on 25 December 2015). Assessment of the storage arrangements and inventory management specific to LLINs was completed by UNDP in August 2015. According to the assessment, capacity and knowledge are considered sufficient and no training for inventory control is recommended.</p>
<p>No. 7 – 11 August 2015 – SR Assessment</p> <p>The PR should complete the SR assessments to ensure that all potential risks are identified and mitigating measures established.</p> <p>The focus should be put on the adequacy of the SR staff levels, qualifications and experience as well as the quality of financial management systems. The planned capacity building sessions should be geared towards addressing the identified weaknesses (Due Date: 31-08-15).</p>		<p>Met</p>	<p>The PR has completed the SR assessment, which resulted in capacity building activities. Additionally, the PR in-country Programme Analyst is closely collaborating with the Ministry of Health of Vanuatu and WHO in-country office.</p>
<p>The IFA will conduct spot checks at the SR level on a regular basis with a focus on advances, cash balances and fixed assets management among others. From these reviews, the Country Team will assess the PR's capacity in managing the sub-recipients and building the SR's capacity, and also assess the exposure to risk for the grant (Due Date: ongoing).</p>		<p>Met</p>	<p>PR provides ongoing monitoring of SR related risks and SR capacity building where necessary</p>
<p>The PR should obtain insurance for the goods procured under the grant. The insurance shall cover the goods in transit, as well as those stored in warehouses. Evidence showing that goods are insured shall be submitted to the Global Fund initially by 31 December 2015, and afterwards upon the Country Team's request (31-12-15).</p>		<p>Met</p>	<p>Met (as confirmed by Global Fund on 25 December 2015) UNDP has a Long Term Agreement (LTA) for insurance with Willis International. The insurance coverage is for all non-cash assets.</p>

<p>No. 10 – 11 August 2015 – Program Management Unit (in-country teams) The PR should submit to the Global Fund timelines for the full establishment and operationalization of the PMU (Due Date: 31-08-15).</p>		Waived	Excluded from further reporting as it's the PR's responsibility to ensure the establishment of the PMU.
<p>No. 11 – 11 August 2015 – Transition The PR is expected to ensure a flawless transition between the former PR (SFC) and the new PR (UNDP) (Due Date: 30-09-15).</p>		Waived	<p>Excluded The transition has not been flawless as SFC did not provide all the requested documentation. However, as it is beyond the responsibility of the new PR, the Management action is excluded</p>
<p>No.12-Ineligible SR expenditures- US\$10,832 i. US\$553 relating to bank charges not validated by PR; ii. US\$10,279 (Vatu equivalent of VUV953,610) relating to commitments for LLIN distribution were incorrectly included by the Vanuatu Ministry of Finance under the SRs cash reconciliation.</p>		Met	Reconciliation completed and evidence will be provided with the next PUDR
<p>expenditures for the period, 1 July to 31 December 2015. This has been reclassified to SR expenditures for the period 1 July to 31 December 2015. The budget for MOH Vanuatu Staff salaries are included under the SRs budget.</p>		Met	SR expenditures incurred on behalf of the SR are to be included as SR expenditures for the reporting period.
<p>No.14-Actual SR Cash balance difference- US\$18,498 The actual SR cash balance initially reported by the PR of US\$40,180 is incorrect. According to the Smart Stream Extract submitted by the Vanuatu Ministry of Finance, the actual SR cash balance as at 31 December 2015 is US\$21,742 (Vatu equivalent of VUV 2,017,118 converted at an exchange rate of VUV21.2392)</p>		Met	

C. Comments on Annual Grant Reporting Requirements

! Please indicate a date for the report due for submission. If a report is overdue, indicate the original due date and explain the reason for delay.

Required Documentation	Due date (dd-mmm-yy)	Status	Comments
PR Audit Report	31-Dec-17	Preparation on track	
Annual Financial Report (AFR) / Enhanced Financial Report (EFR)	7-Apr-17	Submitted to GF	

Progress Report with Disbursement Request

Section 5: Principal Recipient and LFA Evaluation of Overall Performance

A. Principal Recipient's Overall Self-Evaluation of Grant Performance (including a summary of how financial performance is linked to programmatic achievements)

! The self-evaluation should be undertaken by taking into account programmatic achievements, financial performance and program issues in various functional areas (M&E, Finance, Procurement, and Program Management, including management of Sub-Recipients). See Guidelines for more detailed guidance.

Overall the Grant Performance in the assessment period was Excellent.

Programmatic performance: During this reporting period, all targets have been achieved. Programmatic achievement for the bed net distribution is more than 100% with zero reported inpatient malaria deaths. Details are in the Programmatic Progress section.

Financial performance: The disbursement during this period is 93 %. Actual cash expenditure is lower due to outstanding NEX advances purchase orders and prepayments.

All 2015 delayed activities were implemented.

Efficiencies were realized in LLIN procurement and those savings were reprogrammed towards Health System Strengthening prioritizing building national storage capacities and surveillance capacities.

Vanuatu completed SR capacity assessment in October 2015 by Ernst and Young and the assessment recommendations and action plan was approved by MoH and implemented. The programme is aligned to national priorities and implemented per Government of Vanuatu rules and regulations.

Lessons learned from LLIN's distribution operations encouraged MoH to seek partnership with the Department of Local Authorities and Areas Councils to ensure new approach with more engagement at community level and introduction of the Verified Service Delivery (VSD) tying the payment of the distribution costs in those villages to the successful delivery of LLINs to the communities.

Progress in the grant management actions are detailed in the relevant section.

UNDP in partnership with MoH, WHO and the Australian Department of Foreign Affairs and Trade (DFAIT) continue to support the national malaria programme activities including the bed nets distribution campaign. The support will continue to strengthen the Malaria Programme Leadership and Management capacities at national and provincial level.

Sustainability of the programmes is priority and is always considered in reprogramming requests or any programmatic change.

B. Planned Changes in the Program, if any

There are no planned changes to the programme in 2017. Efficiencies gained will be reprogrammed towards sustainable and resilient health system pillars

C. External factors beyond the control of the Principal Recipient that have impacted or may impact the Program

Progress Report with Disbursement Request

Section 7A. The Principal Recipient Expenditure Report Completed by the Principal Recipient

Period of Financial Reporting	Beginning Date: 1-Jan-16	End Date: 31-Dec-16
Cumulative Period of Financial Reporting	Beginning Date: 1-Jul-15	End Date: 31-Dec-16

A- BREAKDOWN BY COST GROUPING

Costing Dimension (Cost Grouping)	Current Reporting Period				Cumulative for the Implementation Period				
	Budget for Reporting Period	Actual Expenditure	Budget Vs Actual Variances	Absorption Capacity	Explanation of Variances (mandatory for all percentages below 95% & above 105%)	Cumulative Budget	Cumulative Actual Expenditure	Cumulative Budget Vs Actual Variances	Absorption Capacity
1. Human Resources (HR)	\$270,281	\$179,979	\$90,302	66.6%	<ul style="list-style-type: none"> \$19,640 PMU HR savings \$53,496 PR delayed HR deductions \$14,048 SR human resources savings \$3,119 SR human resource payment as part of the advance 	\$421,957	\$227,126	\$194,831	53.8%
10. Communication Material and Publications (CMP)	\$27,813	\$784	\$27,029	2.8%	<ul style="list-style-type: none"> \$9391 Purchase orders post LLIN distribution survey \$17,588 Delayed activities contribution to Digital LTA 	\$38,385	\$784	\$37,601	2.0%
11. Programme Administration costs (PA)	\$80,671	\$75,649	\$4,023	95.0%	<p>Positive variance explained by</p> <ul style="list-style-type: none"> \$7,484 GMS savings due to delayed activities \$4,252 PMU IT & communications costs savings <p>Negative Variance</p> <ul style="list-style-type: none"> \$7713 office setup and equipment costs budgeted in 2015 and paid in this period in addition to unit costs increase 	\$134,800	\$83,476	\$51,324	61.9%
2. Travel related costs (TRC)	\$15,867	\$17,079	-\$1,212	107.6%	<ul style="list-style-type: none"> \$1,142 Over expenditure due to under estimation of the unit costs 	\$29,305	\$31,179	-\$1,874	106.4%
3. External Professional services (EPS)	\$17,332	\$0	\$17,332	0.0%	<ul style="list-style-type: none"> \$11,900 PR audit scheduled in May 2017 \$5,432 SR audit costs delayed -will cover SR Internal audit costs 	\$22,764	\$0	\$22,764	0.0%
5. Health Products - Non-Pharmaceuticals (HPNP)	\$294,120	\$195,687	\$98,433	66.5%	<ul style="list-style-type: none"> \$98,433 LLIN procurement savings because of unit cost. The cumulative savings from this budget line is already reallocated to procurement of PCR machine, IT equipment to support MIS infrastructure and refurbishment of GP warehouse 	\$581,748	\$195,687	\$386,061	33.6%
7. Procurement and Supply-Chain Management costs (PSM)	\$326,886	\$457,309	-\$127,422	138.6%	<p>Negative variance :</p> <ul style="list-style-type: none"> \$2,478 quality tests costs due to underestimation of unit costs \$2,417 handling fees costs due to underestimation of unit costs \$192,426 2015 distribution costs paid during this reporting period <p>Positive variance:</p> <ul style="list-style-type: none"> \$4,100 Custom clearance savings \$29,883 in country distribution costs- part of the Nex advance \$35,388 freight and insurance and storage costs savings 	\$487,287	\$465,962	\$21,325	95.6%
8. Infrastructure (INF)	\$0	\$0	\$0	N/A	PR office equipment maintenance and service costs	\$900	\$0	\$900	0.0%
9. Non-health equipment (NHP)	\$1,200	\$0	\$1,200	0.0%		\$7,300	\$0	\$7,300	0.0%
Grand Total	\$1,037,171	\$927,486	\$109,685	89.4%		\$1,724,447	\$1,004,215	\$720,232	58.2%

B. BREAKDOWN BY INTERVENTIONS

Modular Approach - Modules	Modular Approach - Interventions	Budget for Reporting Period	Actual Expenditure	Budget Vs Actual Variances	Absorption Capacity	Explanation of Variances (mandatory for all percentages below 95% & above 105%)	Cumulative Budget	Cumulative Actual Expenditure	Cumulative Budget Vs Actuals Variances	Absorption Capacity
Program management	Policy, planning, coordination and management of national disease control programs	\$20,760	\$9,081	\$11,678	43.7%	\$11,678 M&E officer savings	\$64,236	\$49,311	\$23,925	62.8%
Program management	Grant management					Positive variance • \$7,484 delayed activities GMS • \$5,432 SR audit fee • \$11,900 audit fees • \$ 19,640 PMU HR savings • \$53,496 PR delayed HR deductions • \$5,482 PR communications and maintenance costs savings Negative Variance • \$7,713 office setup and equipment costs budgeted in 2015 and paid in this period in addition to unit costs increase	\$416,681	\$198,733	\$217,948	47.7%
RSSH: Health management information systems and M&E	Analysis, review and transparency	\$15,738	\$17,079	-\$1,341	108.5%	• \$ 1,341 Over expenditure due to under estimation of the unit costs	\$18,942	\$18,576	\$366	98.1%
Vector control	Long-lasting insecticidal nets (LLIN) - Mass campaign	\$730,697	\$727,167	\$3,529	99.5%		\$1,224,587	\$746,595	\$477,993	61.0%
Grand Total		\$1,037,171	\$927,486	\$109,685	89.4%		\$1,724,447	\$1,004,215	\$720,232	58.2%

C. BREAKDOWN BY IMPLEMENTING ENTITY

Implementing Entity	Type of Implementing Entity	Budget for Reporting Period	Actual Expenditure	Budget Vs Actual Variances	Absorption Capacity	Explanation of Variances (mandatory for all percentages below 95% & above 105%)	Cumulative Budget	Cumulative Actual Expenditure	Cumulative Budget Vs Actuals Variances	Absorption Capacity
Ministry of Health Vanuatu	GOV-MOH	\$99,637	\$82,469	\$17,168	82.8%	• \$ 14,048 SR human resources savings • \$3,119 SR human resource payment as part of the advance	\$148,005	\$93,243	\$54,761	63.0%
United Nations Development Programme	MO-UNDP	\$937,534	\$845,017	\$92,517	90.1%	Positive variance • \$ 19,640 PMU HR savings • \$53,496 PR delayed HR deductions • \$27,029 Communications costs delayed activities will be implemented in 2017 • \$7,484 GMS savings • \$4,252 PR communications costs • \$17,332 audit fees to be implemented in May 2017 • \$98,433 LLIN procurement savings due to reduced unit cost Negative Variance • \$8,085 office setup and equipment costs budgeted in 2015 and paid in this period in addition to unit costs increase • \$ 1,109 Over expenditure due to under estimation of the unit costs • \$ 4,145 Area secretaries training for implementation of the new modality • \$123,380 2015 FSM distribution costs	\$1,576,442	\$910,971	\$665,471	57.8%
Grand Total		\$1,037,171	\$927,486	\$109,685	89.4%		\$1,724,447	\$1,004,215	\$720,232	58.2%

Validation of Grand Total

OK

OK

OK OK

Progress Report with Disbursement Request

Section 8A: The Global Fund Forecast Template

Disbursement Request Execution Period		Disbursement Request Buffer Period		Disbursement Request Execution Period		Disbursement Request Buffer Period		Disbursement Request Execution Period		Disbursement Request Buffer Period	
1-Jan-17		N/A		1-Jan-17		N/A		31-Dec-17		N/A	
End Date:		End Date:		End Date:		End Date:		Has the LFA Verified the Principal Recipient Forecast:		Select	
Summary Breakdown by Cost Grouping											
Costing Dimension (Cost Grouping)											
1. Human Resources (HR)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2. Information Systems (IS)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
3. Communications, Meetings and Publications (CMP)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
4. Programme Administration costs (PA)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
5. Travel-related costs (TRC)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
6. External Professional services (EPS)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
7. Health Products - Non-Pharmaceuticals (HNPF)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
8. Procurement and Supply-Chain Management cost (PSM)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
9. Infrastructure (INF)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
10. Other health interventions (OHI)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Grand Total	\$938,427	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Summary Breakdown by Interventions											
Modular Approach - Modules											
Program management	\$24,382	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Policy planning, coordination and management of national disease control programs	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Grant management	\$256,409	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Surveys	\$245,506	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Analysis, review and transparency	\$16,094	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Long-lasting insecticidal nets (LLIN) - Mass campaign	\$594,106	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Grand Total	\$938,427	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Implementing Entity											
Ministry of Health Vanuatu	\$102,634	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
United Nations Development Programme	\$835,801	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Grand Total	\$938,427	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Validation of Grand Total											
OK OK OK OK OK OK OK OK OK OK OK OK											

Disbursement Request Execution Period		Disbursement Request Buffer Period		Disbursement Request Execution Period		Disbursement Request Buffer Period		Disbursement Request Execution Period		Disbursement Request Buffer Period	
1-Jan-17		N/A		1-Jan-17		N/A		31-Dec-17		N/A	
End Date:		End Date:		End Date:		End Date:		Has the LFA Verified the Principal Recipient Forecast:		Select	
Summary Breakdown by Interventions											
Modular Approach - Modules											
Program management	\$24,382	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Policy planning, coordination and management of national disease control programs	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Grant management	\$256,409	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Surveys	\$245,506	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Analysis, review and transparency	\$16,094	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Long-lasting insecticidal nets (LLIN) - Mass campaign	\$594,106	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Grand Total	\$938,427	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Implementing Entity											
Ministry of Health Vanuatu	\$102,634	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
United Nations Development Programme	\$835,801	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Grand Total	\$938,427	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Validation of Grand Total											
OK OK OK OK OK OK OK OK OK OK OK OK											

Disbursement Request Execution Period		Disbursement Request Buffer Period		Disbursement Request Execution Period		Disbursement Request Buffer Period		Disbursement Request Execution Period		Disbursement Request Buffer Period	
1-Jan-17		N/A		1-Jan-17		N/A		31-Dec-17		N/A	
End Date:		End Date:		End Date:		End Date:		Has the LFA Verified the Principal Recipient Forecast:		Select	
Summary Breakdown by Quarter											
Modular Approach - Modules											
Program management	\$24,382	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Policy planning, coordination and management of national disease control programs	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Grant management	\$256,409	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Surveys	\$245,506	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Analysis, review and transparency	\$16,094	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Long-lasting insecticidal nets (LLIN) - Mass campaign	\$594,106	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Grand Total	\$938,427	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Implementing Entity											
Ministry of Health Vanuatu	\$102,634	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
United Nations Development Programme	\$835,801	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Grand Total	\$938,427	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Validation of Grand Total											
OK OK OK OK OK OK OK OK OK OK OK OK											

Disbursement Request Execution Period		Disbursement Request Buffer Period		Disbursement Request Execution Period		Disbursement Request Buffer Period		Disbursement Request Execution Period		Disbursement Request Buffer Period	
1-Jan-17		N/A		1-Jan-17		N/A		31-Dec-17		N/A	
End Date:		End Date:		End Date:		End Date:		Has the LFA Verified the Principal Recipient Forecast:		Select	
Summary Breakdown by Quarter											
Modular Approach - Modules											
Program management	\$24,382	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Policy planning, coordination and management of national disease control programs	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Grant management	\$256,409	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Surveys	\$245,506	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Analysis, review and transparency	\$16,094	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Long-lasting insecticidal nets (LLIN) - Mass campaign	\$594,106	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Grand Total	\$938,427	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Implementing Entity											
Ministry of Health Vanuatu	\$102,634	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
United Nations Development Programme	\$835,801	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Grand Total	\$938,427	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Validation of Grand Total											
OK OK OK OK OK OK OK OK OK OK OK OK											

	Approved Budget								
Principal Recipient	Forecasted Budget	\$200,893	\$24,859	\$233,456	\$933,427				\$933,427
	Forecasted Disbursement to Principal Recipient	0	0	0	0				0
	PPS/Wombos.org forecasted disbursement	272,119	11,819	283,938	1,249,615				1,249,615
	Total	272,119	11,819	283,938	1,249,615				1,249,615
Local Fund Agent	Forecasted Direct Disbursement	0	0	0	0				0
	Forecasted Disbursement to Principal Recipient	0	0	0	0				0
	PPS/Wombos.org forecasted disbursement	0	0	0	0				0
	Total	0	0	0	0				0

OK

OK

Validation of Principal Recipient Grand Total

Validation of Local Fund Agent Grand Total

Progress Report with Disbursement Request

Section 8B. Disbursement Request and Recommendation

Disbursement Request Execution Period	31-Dec-17
Disbursement Request Buffer Period	N/A

Total forecasted net cash expenditures by the Principal Recipient for the period immediately following the period covered by the Progress Update:

Principal Recipient

Explanation of any significant variance between forecasted amounts and amounts as originally budgeted. Please include the dollar amount of the variance for budget. Please specify the main factors and related amounts that are the major drivers of the variance.

	Execution Period				Total	Buffer Period				Total
	01/Jan/2017-31/Mar/2017	01/Apr/2017-30/Jul/2017	01/Aug/2017-30/Sep/2017	01/Oct/2017-31/Dec/2017						
Calendar Period Approved Budget	\$237,110	\$200,892	\$241,899	\$253,556	\$933,457	\$0	\$0	\$0	\$0	\$933,457
Principal Recipient Forecast	\$237,110	\$616,010	\$241,899	\$253,556	\$1,349,575	\$0	\$0	\$0	\$0	\$1,349,575
Cash Balances, End of period covered by Progress Update (Item 8.A in PRLFA Cash Reconciliation):	\$368,545									
Cash in Transit for the reporting period (Disbursements to PR & third party disbursements):	\$0									
Cash in Transit after the current reporting period (Disbursements to PR & third party disbursements):	\$200,891									
Disbursement Request	\$0	\$281,663	\$241,899	\$253,556	\$780,119	\$0	\$0	\$0	\$0	\$780,119
Forecasted Disbursement to Principal Recipient	\$0	\$281,663	\$241,899	\$253,556	\$780,119	\$0	\$0	\$0	\$0	\$780,119
Forecasted Direct Disbursement	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
PPM/Wambo.org forecasted disbursement Disbursement Request	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	To	\$281,663	\$241,899	\$253,556	\$780,119	\$0	\$0	\$0	\$0	\$780,119

In Summary: The variance between the forecasted amount and the budgeted is due to: (1) commitments (letters of agreements or purchase orders) (2) liquidation of prepayment; (3) approved reprogramming to be implemented. Details are provided as comments in the Forecast sheet

For LFA Use Only

LFA comments on PR's explanation of any significant variance between forecasted amounts and amounts as originally budgeted.

Calendar Period Approved Budget Local Fund Agent Forecast Cash Balance: End of period covered by Progress Update (Item 5.4 in PR/LFA Cash Reconciliation): Cash in Transit for the reporting period (Disbursements to PR & third party disbursements): Cash in Transit after the current reporting period (Disbursements to PR & third party disbursements) : Disbursement Request	Execution Period				Total	Buffer Period	Total
	01/Jan/2017- 31/Mar/2017	01/Apr/2017- 30/Jun/2017	01/Jul/2017- 30/Sep/2017	01/Oct/2017- 31/Dec/2017			
	\$237,119	\$200,853	\$241,829	\$253,456	\$933,257	\$0	\$0
	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	\$968,505						\$933,427
	\$0						\$0
	\$200,851						\$0
	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Forecasted Disbursement to Principal Recipient	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Forecasted Direct Disbursement	\$0	\$0	\$0	\$0	\$0	\$0	\$0
PPM/Wambo.org forecasted disbursement Disbursement Request	\$0	\$0	\$0	\$0	\$0	\$0	\$0

Exchange Rate

	Rates used by the PR	LFA-verified rates
* - used to convert Opening Cash Balance	2.12000	0.0000
- used to convert Closing Cash Balance	2.07000	0.0000
- used to convert Total PR Cash Outflow for the Progress Update Period	2.04000	0.0000

Name of local currency, date and source of the exchange rate, and other comments (if appropriate)

UNORE FID to USD
UNORE FID to USD
UNORE FID to USD

LFA comments on the exchange rates used by the PR

--

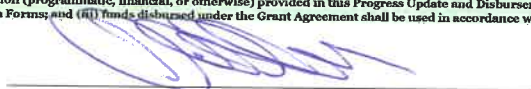
Progress Report with Disbursement Request

Section 9A. Principal Recipient Authorization

Grant Name:	OMJ-M-UNDP			
Principal Recipient Reporting - Period Covered:	Beginning Date:	1-Jul-16	End Date:	31-Dec-16
Disbursement Request Execution Period	Beginning Date:	1-Jan-17	End Date:	31-Dec-17
Disbursement Request Buffer Period	Beginning Date:	N/A	End Date:	N/A
Currency:	USD			
Disbursement Request Amount:	543,000			

The undersigned acknowledges that: (i) all the information (programmatic, financial, or otherwise) provided in this Progress Update and Disbursement Request is complete and accurate; (ii) funds disbursed in accordance with this request shall be deposited in the bank account specified in the Core Data Forms; and (iii) funds disbursed under the Grant Agreement shall be used in accordance with the Grant Agreement.

Signed on behalf of the Principal Recipient:
(signature of Authorized Designated Representative)



Osnat Lubrani

Name: _____
UNDP Resident Representative

Title: _____

Date and Place: _____
7-Apr

Principal Recipient Reporting

Financial Triggers for Principal Recipient Reporting

Item No.	Financial Triggers	Principal Recipient		For LFA Use Only	
		Answer	Comments	As verified by LFA	Comments
1	Cash balance not reconciled to the cash reconciliation and bank account with significant (+/- 5%) and unexplained differences				
2	Audit Report overdue	NO			
3	Qualified, adverse or disclaimer of opinion received for the latest audit	NO			
4	Enhanced Financial Report/Annual Financial Report has not been fully completed or does not include all the grant's expenditures for the period				
5	Inadequate explanation of significant variance (+/- 10%) between budget and actual expenditures by intervention/Service Delivery Area and/or cost grouping/cost category linked to programmatic results				
6	Critical recommendations by auditors, OIG or the Global Fund on internal controls are not implemented or being addressed by the PR	NO			
7	Presence of major issues identified with respect to the Financial Management and Systems Area				
8	Expenditure vs. Budget (in EFR/AFR) rate below 50% for the prior annual period.	NO			
9	If answer to point 8 is "yes", the Annual Cash Forecast has been adjusted to take into consideration the past absorption	N/A			
10	Finance related Conditions are not met or are partly met	NO			